

Submitting HFC Reports

HFC Allocation Rule Reporting Instructions March 2022

I. INTRODUCTION

This document provides information on how to submit HFC reports through EPA's electronic Greenhouse Gas Reporting Tool (e-GGRT). To submit a report through e-GGRT, you must first be registered with the HFC Reporting System within e-GGRT. Registration is by invitation only. Refer to EPA's reporting instructions on <u>Registration and Account Management</u> for more information on the registration process. The remainder of this document is organized as follows:

- Section II: Access your HFC Reporting System Account
- Section III: Submit a New Company-Level Report
- Section IV: Submit a New Facility-Level Report
- Section V: View Report Documents
- Section VI: Resubmit a Report
- Appendix A: Amend a Transhipment

II. ACCESS YOUR HFC REPORTING SYSTEM ACCOUNT

Step 1: Log into e-GGRT

Visit <u>https://ghgreporting.epa.gov</u> and enter your Username and Password.



Step 2: Enter the HFC Reporting System Application

Click on the link to go to the HFC Reporting System application. Note that this link will only appear for users that are registered with the HFC Reporting System application.



III. SUBMIT A NEW COMPANY-LEVEL REPORT

Certain HFC activities are reported to EPA at the company-level, while other activities are reported at the facility-level. The text box below summarizes which report types are reported at each level. This section provides step by step instructions on submitting a company-level report.

Company-Level vs Facility-Level HFC Reports

HFC reports can be company-level or facility-level, as summarized by report type in the table below. A detailed control period calendar identifying when specific reports are due is available <u>here</u>.

Report Type	Report Level	Report Frequency
Application-Specific Allowance Holders Biannual Report	Company	Biannual
Conferral of Allowances Report	Company	As Needed
Destruction One-Time Report	Facility	One-Time
Exporter Quarterly Report	Company	Quarterly
Fire Suppressant Recycler Quarterly Report	Company	Quarterly
Importer of Record Annual Report	Company	Annual
Importer Quarterly Report	Company	Quarterly
Inter-Company Transfers Report	Company	As Needed
International Transfers Report	Company	As Needed
Producer One-Time Report	Facility	One-Time
Producer Quarterly Report	Facility	Quarterly
Process Agent Use Annual Report	Facility	Annual
Process Agent Use One-Time Report	Facility	One-Time
Request for Additional Consumption Allowances Report	Company	As Needed
Reclaimer One-Time Report	Company	One-Time
Reclamation Quarterly Report	Company	Quarterly
Sale or Conveyance Report	Company	One-Time
Second Party Transformation and Destruction Report	Facility	Annual
Transformation One-Time Report	Facility	One-Time
Transhipment Notification	Company	As Needed

Step 1: Navigate to the HFC Reports tab

Select the HFC Reports tab at the top of the screen.



Step 2: Select the HFC Report Type

Select the HFC report type that you are submitting from one of the **Select Report Type** dropdown menus, depending on the report frequency (see text box on page 3), and click **Start** or **Go** to begin the submission process.



Step 2a: Select the Reporting Period

If submitting a quarterly, biannual, or annual report, select **Start** from the Actions menu for the reporting period for which you are submitting a report.



Step 3: Upload a Completed HFC Report

Click **Choose File**, select your completed HFC report, and click **Upload**. A blank reporting form is available for download from within the application and is also available on <u>EPA's HFC Allocation Rule</u> Reporting and Recordkeeping web page.

SEPA United S Environm Agency	tates nental Protection			HFc
Home HFC Reports	Import Petitions	Company Management		P H A S E D O W Anterior in terroritor 1 Manufacture 1 Man
		1		Hello, Shubh Jain My Profile Logou
IM UAT ICF Comp me » Choose a Company »	any 6 (AIM80 Company Reports S	6) Summary » HFC Conferral of Allo	wances Report	
HFC Conferral of Allow	ances Report			HFC Reports Resources
Complete and submit an HF allowances to another comp	C Conferral of Allowa any (conferee). All se	nces Report if your company plans ctions of the report must be compl	s to confer application-specific eted prior to submission.	Slank HFC Conferral of Allowances form
eport				
Reporting Year			Report Status	
Conferee Name (ID)			Last Submitted Version	
Created Date (By)			Last Submitted Date (By)	
Modified Date (By)			Acceptance Date	
itep 1: Upload a completed ne Upload button. If validatio Step 2: Upload a certification	HFC Conferral of Allo on errors are found, re from the conferrer a	wance form by clicking Choose Fi avise and upload the edited versio nd the conferee stating that the Hf	le, locating the completed form or n of the form. FCs being acquired, produced, or	a your computer, selecting the file, and clicking
4.5(c)(2) and will not be res	old for use in a differe	ent application or used in any othe	r manufacturing process.	
ten 3: Click Submit to revie	w and complete the e	electronic signature steps.		
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Choose the INV life chos				

Step 4: Correct Validation Errors (if applicable)

When you click Upload, the system runs validation checks on your report to confirm accuracy and completeness of the data provided. Correct any validation errors by revising and reuploading the completed reporting form.

Report					
Reporting Year	Report Status				
Conferee Name (ID)	Last Submitted Version				
Created Date (By)	Last Submitted Date (By)				
Modified Date (By)	Acceptance Date				
Step 1: Upload a completed HFC Conferral of Allowance form by clicking Choose File, locating the completed form on your computer, selecting the file, and clicking the Upload button. If validation errors are found, revise and upload the edited version of the form.					
Step 2: Upload a certification from the conferrer and the conferee stating that the HFCs being acquired, produced, or imported are solely for an application listed in 84.5(c)(2) and will not be resold for use in a different application or used in any other manufacturing process.					
Step 3: Click Submit to review and complete the e	electronic signature steps.				
Choose File I ofile chosen	Upload				

Step 5: Upload Supporting Documentation, as Needed

Upload additional files, as needed, by clicking **Choose File**, selecting the file you wish to upload, and clicking **Upload**. If you upload a file in error, delete the file by selecting **Delete** from the Actions menu.

HFC Conferral of Allow Complete and submit an HF allowances to another comp	HFC Reports R ⇒ Blank HFC C form	Resources Conferral of Allowances					
Report HCONF_2022_000	24						
Reporting Year	2022	Report Status D	raft				
Conferee Name (ID)	AIM UAT ICF Company 5 (AIM805)	Last Submitted Version					
Created Date (By)							
Modified Date (By)	02/14/2022	Acceptance Date					
the Upload button. If validation errors are found, revise and upload the edited version of the form. Step 2: Upload a certification from the conferrer and the conferee stating that the HFCs being acquired, produced, or imported are solely for an application listed in 84.5(c)(2) and will not be resold for use in a different application or used in any other manufacturing process. Step 3: Click Submit to review and complete the electronic signature steps. Choose File Jo file chosen							
Uploaded File Name		Uploaded Date (By)	File Submitted?	Actions			
HFC Conferral of Allowances Re	port r0.2.xlsx	02/14/2022	No				
Certification.pdf		02/14/2022	No	Delete			
Submit Report Back							

Required Supporting Documentation

Supporting documentation is required for select report types and depending on the activity data reported. A summary of required documentation by report type is summarized in the table below.

Report Type	Required Supporting Documentation
Application-Specific Allowance Holders Biannual Report	If requesting additional application-specific allowances for the next calendar year: Supporting documentation to justify the additional need (84.31(h)(1)(viii))
Conferral of Allowances Report	A certification from the conferrer and the conferee stating the regulated substances are for a listed application and will not be resold for a different application $(84.31(h)(4)(vi))$
Exporter Quarterly Report	If exporting for transformation: A transformation verification from the transformer (84.31(d)(1)(vii)) If exporting for destruction: A destruction verification from the destroyer (84.31(d)(1)(vii))
Importer Quarterly Report	If importing for second party transformation: A transformation verification from the transformer $(84.31(c)(1)(vii))$ If importing for second party destruction: A or destruction verification from the destroyer $(84.31(c)(1)(viii))$.
Inter-Company Transfers Report	If transferring application-specific allowances: A signed document from the transferee certifying that the transferee will use the allowances for the same application in which they were originally allocated (84.19(a)(2)(viii))
International Transfers Report	For transfers from a foreign country: A signed document from an official representative in that country's embassy in the United States stating that the appropriate authority within that country has revised the domestic production limits for that country appropriately (84.19(b)(2)(i)) For transfers to a foreign country: A signed statement from a responsible official requesting the relevant Agency official revise the number of production allowances the transferor holds appropriately (84.19(b)(3)(vi))
Producer Quarterly Report	If production for second party transformation: A transformation verification from the transformer (84.31(b)(2)(vii)) If production for second party destruction: A destruction verification from the destroyer (84.31(b)(2)(viii))
Request for Additional Consumption Allowances Report	For each transaction, a copy of the bill of lading and the invoice indicating the net quantity shipped and documenting the sale to the purchaser (84.17(a)(1)(viii))
Reclaimer One-Time Report	For each laboratory that conducted batch testing, a signed statement from the laboratory confirming an ongoing business relationship (84.31(i)(1)(ii))
Sale or Conveyance Report	A certification that the HFCs will be used only for the same application for which the application-specific allowance under which the substances were produced or imported was allocated (84.21(a)(2)(vi))

Step 6: Submit your Report

Once the HFC report and any supporting documentation have been uploaded, click **Submit Report** to review your report.

eport HCONF_2022_00024 Reporting Year 2022 Conferee Name (ID) AIM UAT ICF Company 5 (AIM805) Last Created Date (By) 02/14/2022 Last S Modified Date (By) 02/14/2022 Step 1: Upload a completed HFC Conferral of Allowance form by clicking Choose File, locat the Upload button. If validation errors are found, revise and upload the edited version of the Step 2: Upload a certification from the conferrer and the conferee stating that the HFCs bein 84.5(c)(2) and will not be resold for use in a different application or used in any other manufa Step 3: Click Submit to review and complete the electronic signature steps. Choose File No file chosen Upload	Report Stat ubmitted Version	tus Draft on 3y)						
Reporting Year 2022 Conferee Name (ID) AIM UAT ICF Company 5 (AIM805) Last Created Date (By) 02/14/2022 Last S Modified Date (By) 02/14/2022 Step 1: Upload a completed HFC Conferral of Allowance form by clicking Choose File, locat the Upload button. If validation errors are found, revise and upload the edited version of the Step 2: Upload a certification from the conferrer and the conferee stating that the HFCs bein 84.5(c)(2) and will not be resold for use in a different application or used in any other manufa Step 3: Click Submit to review and complete the electronic signature steps. Choose File No file chosen Upload	Report Stat	tus Draft on 3y)						
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Inloaded File Name	Step 1: Upload a completed HFC Conferral of Allowance form by clicking Choose File, locating the completed form on your computer, selecting the file, and clicking the Upload button. If validation errors are found, revise and upload the edited version of the form. Step 2: Upload a certification from the conferrer and the conferee stating that the HFCs being acquired, produced, or imported are solely for an application listed in 84.5(c)(2) and will not be resold for use in a different application or used in any other manufacturing process. Step 3: Click Submit to review and complete the electronic signature steps. Choose File No file chosen							
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IFC Conterral of Allowances Report r0.2.xisx 02/14/2022	(By)	NO	Delete					
ertification pdf 02/14/2022	(By)		Delete					

Step 7: Review and Complete Submission for your Report

If desired, review your report in a readable HTML format or in XML by clicking on the **Report Summary** or **XML Version** links. Once the information is confirmed, click **Submit Report** and complete your eSignature. To complete your eSignature, enter your password, answer the verification question, and select Sign.



eport or upload additional supporting ignature and submission of the repor Click "Report Summary" to review a re	submission and certification of your report. Click the Back button to modify your documents, as needed. Click the Submit Report button to complete your electro to EPA. adable version of your report. Click "Report Summary - XML Version" to review the submitted to EPA.	nic
eport HCONF_2022_00024	al will be submitted to EPA.	
Reporting Year 2022	Report Status Dra	ft
Conferee Name (ID) AIM UAT	ICF Company 5 (AIM805)	
IFC Conferral of Allowances Repo	eSignature	
Submit Report Back	1. Authentication	
perwork Reduction Act Burden Statemer	Passwole: Authenticated	21.1.14-j360 HCONF-2
	2. Verification	
	Question: Where did you graduate from high school?	
	Answer: Verified	

Step 8: Receive Confirmation Email

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Once submitted to EPA for review, the status of your submission will change to **Submitted** and all individuals that are registered with the company will receive a confirmation email from <u>eGGRT@ccdsupport.com</u> confirming submission of the report. At this time, the system will lock and no further edits may be made to the report until EPA completes its review of the submission.

Step 9: Receive Email Notification of Status Change

Once EPA has reviewed the report, all individuals that are registered with the company will receive another email to notify you that the status of the submission has changed. At this time, you may log back into the HFC Reporting System, view the new submission status, and view any documents sent to you by EPA.



Recipients of Inter-Company Transfers, Conferrals, and Sales/Conveyance

Upon approval of an inter-company transfer, conferral, or sales/conveyance request, the transferee, conferee, or purchaser will be notified by email of the status change. The transferee and purchaser will also be notified by email if the request is denied by EPA. See Section V on viewing report documents for step-by-step instructions on viewing outcome documentation.

HCONF_2022_00024 has been Accepted



eGGRT@ccdsupport.com To Ø Jain, Shubh; Ø Adkins, Emily

A notice from EPA's electronic Greenhouse Gas Reporting Tool (e-GGRT) regarding HFC reporting under the AIM Act. The following submission has been Accepted:

Company ID: AIM805 Company Name: AIM UAT ICF Company 5 Report ID: HCONF 2022 00024

IV. SUBMIT A NEW FACILITY-LEVEL REPORT

Each facility-level report is submitted for a single facility only. Section VII of the <u>Registration and Account</u> <u>Management</u> reporting instructions describes how to add a new facility to your company account. You must add facilities to your company's account for them to appear in the HFC Reports tab. Below are the steps to follow for submitting a facility-level report.

Step 1: Navigate to the Facility-Specific Reporting Page

From the HFC Reports tab, click **Open Facility** in the Actions menu for the facility for which you are submitting a report.

Home HFC Rep AIM UAT ICF C Home » Choose a Com	ited States vironmental Protection ency Import Petitions ompany 6 (AIM80 pany » Company Reports	Company Mar 6) Summary	nagement			Hello, Si	P H P H Meteory hubh Jain My Pr	SEDOWN
Submit or access Use this screen to sta needed report, and us If your company includ access facility-level re	your reports t or open company-level HF e the menu on the right to a des facilities (added via the 0 porting locate the facility and	^E C reports. Use ti ccess periodic (q Company Manag d click Open Faci	ne menu on the le uarterly, bi-annua ement tab) they v lity in the Actions	ift to start a ne il, annual) repo vill be listed in column.	w One-time or As- orts. a table below. To			
Start a New Compan To start a new HFC re button. If you want to the Company-Level HI Select Report Type Start	y-Level One-Time or As port select its report type be riew, revise, or amend an ex FC Reports table and click C	-Needed Repo low, then click the tisting report, find Open.	rt e Start lit below in ✔	Go to Comj To access o below, then reports, or v Select Re Go	pany-Level Period quarterly, biannual, o click the Go button. view and resubmit pr eport Type	dic Reporting r annual HFC reporti You will be able to s reviously-submitted r	ng select the re tart and submit eports.	port type new
Report ID	Reporting Year	Period	HFC Report 1	Гуре	Last Modified	Last Submitted	Status	Actions
HTRAN_2023_00006	2023	N/A	Inter-Company	/ Transfers	03/17/2022	02/07/2022	Incomplete	Open
HSHIP_2022_00062	2022	N/A	Transhipment	Notification	03/03/2022	03/03/2022	Accepted	Open
HCONF_2022_00015	2022	N/A	Conferral of Al	lowances	02/24/2022	02/24/2022	Submitted	Open
HCONF_2022_00025	2022	N/A	Conferral of Al	lowances	02/24/2022	02/14/2022	Rejected	Open
Facility-Level Report	ing							
Facility	Facility ID	Facility C	ity, State			Act	ions	
Test Facility	AIM806-01	Washingto	on, District of Colum	bia		Оре	en Facility	

Step 2: Select the HFC Report Type

Select the Facility-Level HFC report type that you are submitting from one of the **Select Report Type** dropdown menus, depending on the report frequency (see text box on page 3), and click **Start** or **Go** to begin the submission process.



Step 2a: Select the Reporting Period

If submitting a quarterly or annual report, select **Start** from the Actions menu for the reporting period for which you are submitting a report.

€E	PA United S Environm Agency	tates nental Protection					HFc⊾
Home	HFC Reports	Import Petitions	Company Ma	nagement			PHASEDOWN American Innovation & Manufacturing (AIM) Act AUKS (Investment of Automatic Automatic Automatic
						Hello, Shubh Jain	My Profile Logout
Test Fa Home » Ch	noose a Company *	0 5-01) ⊢Company Reports	Summary » Facil	ity Reports Summary » F	IFC Producer Quarterly Repor	t	
HEC Pr	oducer Quarter	lv Report				HFC Reports Resource	ces
Complete and submit an HFC Producer Quarterly Report if your facility produces HFCs. Section 1 must be completed prior to submission. Section 2 must be completed if your facility produced HFCs during the reporting quarter; if no HFCs were produced during the quarter, Section 2 may be left blank. Section 3 must be completed if your facility sold or shipped produced HFCs off-site during the quarter for second party transformation, second party destruction, or process agent use. Section 4 must be completed if orders were placed or material was produced for application-specific allowance holders. Sections 5 and 6 must be completed if your facility is reporting quarter 4 activity.							
Reporting	Year	Quarter	Report ID	Last Modified	Last Submitted	Status	Action
2022		4					
2022		3					
2022		2					
2022		1					Start
Back							

Step 3: Complete the Report Submission Process

See Section III, Step 3 through Step 9 of this document to complete the submission process.

V. VIEW REPORT DOCUMENTS

Step 1: Locate Your Report

After logging into e-GGRT and entering the HFC Reporting System application (see Section II), navigate to the **HFC Reports** tab. Locate the company-level report you would like to view and click **Open**.

For facility-level reports, click **Open Facility** in the Actions menu for the facility for which you want to view documents, and locate the facility-level report you would like to view.

	States Inmental Protection					HFc
Home HFC Reports	mport Petitions Cor	npany Management				PHASEDOWN American Innovation B. Manufacturing (AMD Act AUS, Environmental Paytection Agency Program
					Hello, Shubh	n Jain My Profile Logout
AIM UAT ICF Com Home » Choose a Company	pany 6 (AIM806) » Company Reports Summ	ary				
Submit or access you Use this screen to start or or needed report, and use the If your company includes fa access facility-level reportin	IT reports open company-level HFC repr e menu on the right to access acilities (added via the Compa ng locate the facility and click	orts. Use the menu on th periodic (quarterly, bi-ani iny Management tab) the Open Facility in the Actio	e left to start a nual, annual) re y will be listed ns column.	new One-time or As- aports. in a table below. To		
Start a New Company-Lee To start a new HFC report s button. If you want to view, the Company-Level HFC R	evel One-Time or As-Need select its report type below, th revise, or amend an existing eports table and click Open.	led Report en click the Start report, find it below in	Go to Co To acces below, th reports, c	mpany-Level Periodic I s quarterly, biannual, or an en click the Go button. You r view and resubmit previo	Reporting nual HFC reporting : will be able to start usly-submitted repo	select the report type and submit new rts.
Select Report Type		~	Select	Report Type		~
Start			Go			
Company-Level HFC Report ID	oorts HFC Report Type	Last	Modified	Last Submitted	Status	Actions
HCONF_2022_00024	Conferral of Allowances	02/14	2022	02/14/2022	Accepted	Open
Facility-Level Reporting						
Facility	Facility ID	Facility City, State			Actions	5
Test Facility	AIM806-01	Washington, District of Co	lumbia		Open F	acility

Recipients of Inter-Company Transfers, Conferrals, and Sales/Conveyance

To view outcome documents, transferees, conferees, and purchasers can similarly log into e-GGRT, enter the HFC Reporting System, locate the desired report in the company-level reports table, open the report, and download the report outcome document provided by EPA.

Step 2: View Review Outcome Document

To view the report outcome documents sent by EPA, click on the link under the Review Outcome Document menu in the Report History table. From this page you may also download and view a copy of the documents previously submitted to EPA.

Report	Status Update						
EPA a History	ccepted this HFC Contract to the second seco	onferral of Allowances report nation.	on 02/14/2022. Please	refer to the Cor	firmation Notic	e and Balance Statement b	elow in the Report
Report	HCONF_2022_000	24					
	Reporting Year	2022		F	Report Status	Accepted	
(Conferee Name (ID)	AIM UAT ICF Company 5 (All	M805)	Last Subm	itted Version	1	
	Created Date (By)	02/14/2022		Last Submit	ted Date (By)	02/14/2022 (Shubh Jain)	
	Modified Date (By)	02/14/2022		Acc	eptance Date	02/14/2022	
Uploade	d File Name		Up	loaded Date (By)		File Submitted?	Actions
HFC Con	ferral of Allowances Re	port r0.2.xlsx	02/	14/2022		Yes	
Certificat	ion.pdf		02/	14/2022		Yes	
Report	History						
Version	Report Documentati	on	Submission Date (By)	Review Date	Review Outco	me Document	
1	Report Summary Z	P Archive Validation Summary	02/14/2022 (Shubh Jain)	02/14/2022	HCONF_2022_	00024_01_Confirmation_Notic	ce_w_BalStmt_Conferrer.pdf

Back

Attachment Example

0 0		
Company Six AIM UAT ICF Company 6 6568 Arlington Blvd Suite 403 Washington, DC 66778		
February 14, 2022		
Dear Sirs/Madams:		
This letter serves as a "confirmation notice" notification of intent to confer hydrofluorocar (Report ID: HCONF_2022_00024), consistent	by the U.S. Environmental Protection Agency (EPA) in response to yo bon (HFC) application-specific allowances received on February 14, 2 nt with the information below.	ur 022
Conferrer:	AIM UAT ICF Company 6	
Conferee:	AIM UAT ICF Company 5	
Year Allowances are Valid:	2022	
Year Allowances are Valid: Application	2022 Quantity of Conferred Allowances (MTEVe)	
Year Allowances are Valid: Application Propellants in MDIs	2022 Quantity of Conferred Allowances (MTEVe) 25	

reflects information available to the EPA primarily from your company's reported data regarding application-specific HFC allowances, as well as other information available to the Agency. While the balance statement represents EPA's current understanding of your company's allowance balance, it should not be interpreted as a final balance determination. If EPA obtains information later that indicates that the balance recorded on this statement is incorrect, the Agency will correct it and, where appropriate, may take enforcement action. EPA will notify your company of any corrections made.

Application-specific allowances may only be expended during the twelve-month control period (January 1, 2022 to December 31, 2022) for which they were allocated. Unexpended allowances may not be carried over from one control period to another. Further, no person may use an HFC produced or imported by expending application-specific allowances for any purpose other than that for which the application-specific allowance was allocated.

Report Review Outcomes and Documents

Once your report has been reviewed by EPA, you will receive additional information from EPA based on the outcome of EPA's review. The type of documentation you will receive by review outcome is summarized below. **Bolded** outcomes are eligible for resubmission.

Report Type	Accepted	Rejected	Incomplete
Application-Specific Allowance Holders Biannual Report	None	Error Message	NA
Conferral of Allowances Report	Balance Statement/ Confirmation Notice	Error Message	NA
Destruction One-Time Report	None	Error Message	NA
Exporter Quarterly Report	None	Error Message	NA
Fire Suppressant Recycler Quarterly Report	None	Error Message	NA
Importer of Record Annual Report	None	Error Message	NA
Importer Quarterly Report	Balance Statement	Error Message	NA
Inter-Company Transfers Report	Balance Statement/ Non-Objection Notice	Objection Notice	Incomplete Letter
International Transfers Report	Balance Statement/ Approval Letter	Denial Letter	Incomplete Letter
Process Agent Use Annual Report	None	Error Message	NA
Process Agent Use One-Time Report	None	Error Message	NA
Producer One-Time Report	None	Error Message	NA
Producer Quarterly Report	Balance Statement	Error Message	NA
Reclaimer One-Time Report	None	Error Message	NA
Reclamation Quarterly Report	None	Error Message	NA
Request for Additional Consumption Allowances Report	Balance Statement/ Approval Letter	Error Message	NA
Sale or Conveyance Report	Non-Objection Notice	Objection Notice	Incomplete Letter
Second Party Transformation and Destruction Report	None	Error Message	NA
Transformation One-Time Report	None	Error Message	NA
Transhipment Notification	Confirmation Notice*	NA	Incomplete Letter

Accepted transhipments cannot be resubmitted but may be amended, as described in Appendix A.

VI. RESUBMIT A REPORT

Certain reports may be resubmitted to address concerns identified by EPA or to update previously submitted information. The eligibility for resubmission differs by report and review outcome (see the text box above on *Report Review Outcomes and Documents*). Below are the steps to follow for resubmitting a report.

Step 1: Locate your Report

After logging into e-GGRT and entering the HFC Reporting System application (see Section II), navigate to the **HFC Reports** tab. Locate the report you would like to resubmit and click **Open**.

⇒EPA #	nited States nvironmental Protection gency						H	Fc
Home HFC Rep	oorts Import Petitions	Company Mar	agement				P H American AUS: Em	A S E D O W N Innovation & Manufacturing (AIM) Act Versionmental Protection Agency Program
						Hello, Si	hubh Jain My F	Profile Logout
AIM UAT ICF C Home » Choose a Com	ompany 6 (AIM80 apany » Company Reports	6) Summary						
Submit or access Use this screen to sta needed report, and us If your company inclu access facility-level re	your reports int or open company-level Hf se the menu on the right to a des facilities (added via the aporting locate the facility an	-C reports. Use th cccess periodic (q Company Manage d click Open Faci	te menu on the uarterly, bi-annu ement tab) they lity in the Action	left to start a ne µal, annual) repo will be listed in s column.	w One-time or As- orts. a table below. To			
To start a new HFC re	port select its report type be	low, then click the	Start	To access o	uarterly, biannual, o	r annual HFC reporti	ina select the r	eport type
button. If you want to the Company-Level H	view, revise, or amend an ex FC Reports table and click (kisting report, find Open.	it below in	below, then reports, or v	click the Go button. view and resubmit pr	You will be able to s eviously-submitted r	tart and submi eports.	tnew
Select Report Type	•		~	Select Re	eport Type			~
Start				Go				
Company-Level HFC	Reports							
Report ID	Reporting Year	Period	HFC Report	Туре	Last Modified	Last Submitted	Status	Actions
HCONF_2022_00015	2022	N/A	Conferral of	Allowances	02/02/2022	02/02/2022	Rejected	Open

Step 2: Select Start Revision

Select **Start Revision** to modify the submission files and resubmit the report. From this page you may also download the incomplete letter or error report for more information on why EPA rejected the report or marked it as incomplete. Click on the file name to download and view the document.

Report S	Status Update					
EPA rej address and/or r	jected this HFC Con s the issues describe revised supplementa	nferral of Allowances report on 02/10/2 d in the Review Outcome document you I file(s) as appropriate. Then resubmit the	022. Please refer to the error may submit a report revision. e report.	report below in First, click the	the Report History table for m Start Revision button. Upload a	ore information. To a revised report form
Report H	ICONF_2022_000	15				
	Reporting Year	2022	R	Report Status	Rejected	
C	onferee Name (ID)	AIM UAT ICF Company 5 (AIM805)	Last Subm	itted Version	1	
	Created Date (By)	02/02/2022	Last Submit	ted Date (By)	02/02/2022 (Shubh Jain)	
N	Modified Date (By)	02/02/2022	Acce	eptance Date		
Uploaded	1 File Name		Uploaded Date (By)	File Resubmitted?	Actions
HEC Conf	iorral of Allowances Re	port r0.2 (1).xlsx	02/02/2022		No	
Start Rev	vision					
Report H	listory					
Version	Report Documenta	tion	Submission Date (By)	Review Date	Review Outcome Docume	at
1	Report Summary	ZIP Archive Validation Summary	02/02/2022 (Shubh Jain)	02/10/2022	HCONF_2022_00015_01_E	rror_Report.pdf
Back						

Step 3: Revise Report

Report revisions can include modifications to the Excel report and/or the addition of new supporting documentation. To revise your Excel report, download the previously submitted Excel report, make the necessary revisions, and reupload by clicking **Choose File**, selecting your revised HFC Reporting Form, and clicking **Upload**. Add any new supporting documents by clicking **Choose File**, selecting the files you wish to upload, and clicking **Upload**. If you wish to delete any previously uploaded files, delete the files by selecting **Delete** from the Actions menu.

Report S	Status Update					
EPA rej address and/or r	ected this HFC Cor the issues describe evised supplementa	nferral of Allowances report on 02/10/2 d in the Review Outcome document you I file(s) as appropriate. Then resubmit the	2022. Please refer to the error rep may submit a report revision. Fir e report.	port below in st, click the	n the Report History table for m Start Revision button. Upload	ore information. To a revised report form
Report H	ICONF_2022_000	15				
	Reporting Year	2022	Rep	ort Status	Rejected	
C	onferee Name (ID)	AIM UAT ICF Company 5 (AIM805)	Last Submitte	ed Version	1 (Version 2 Started)	
	Created Date (By)	02/02/2022	Last Submitted	Date (By)	02/02/2022 (Shubh Jain)	
N	Modified Date (By)	02/24/2022	Accept	ance Date		
Uploaded	File Name		Uploaded Date (By)		File Resubmitted?	Actions
HFC Confe	erral of Allowances Re	port r0.2 (1).xlsx	02/24/2022		No	
Certificatio	on.pdf		02/24/2022		No	Delete
Submit I	Revision					
Version	Report Documenta	tion	Submission Date (By)	Review Date	e Review Outcome Docume	ent
1	Report Summary	ZIP Archive Validation Summary	02/02/2022 (Shubh Jain)	02/10/2022	HCONF_2022_00015_01_E	Error_Report.pdf
Back						

Step 4: Resubmit Report

Once all revisions have been made, click **Submit Revision** and complete your eSignature. To complete your eSignature, enter your password, answer the verification question, and select Sign.

Report	Status Update							
EPA n addres and/or	ejected this HFC Cor ss the issues describe revised supplementa	nferral of Allowances rep ed in the Review Outcome al file(s) as appropriate. The	ort on 02/10/20 document you m en resubmit the r	22. Please ay submit eport.	e refer to the error re a report revision. F	eport below in irst, click the S	the Report History table fo Start Revision button. Uplo	r more information. To ad a revised report form
Report	HCONF_2022_000	15						
	Reporting Year	2022			Re	port Status	Rejected	
(Conferee Name (ID)	AIM UAT ICF Company 5	(AIM805)		Last Submit	ted Version	1 (Version 2 Started)	
	Created Date (By)	02/02/2022			Last Submitte	d Date (By)	02/02/2022 (Shubh Jain)	
	Modified Date (By)	02/24/2022			Accep	otance Date		
lf you r supple	need to submit a revis mental files, as neede	ed report form, upload a re ed.	vised/corrected	version of	the reporting form	to overwrite th	e previous version. You m	ay also delete and/or add
Cho	ose File No file cho	sen	Upload					
Uploade	ed File Name				Uploaded Date (By)		File Resubmitted?	Actions
HFC Cor	nferral of Allowances Re	eport r0.2 (1).xlsx		(02/24/2022		No	
Certificat	tion.pdf			C	02/24/2022		No	Delete
Submi	t Revision History							
Version	Report Documenta	ation		Submiss	ion Date (By)	Review Date	Review Outcome Docu	iment
1	Report Summary	ZIP Archive Validation Sum	imary	02/02/202	22 (Shubh Jain)	02/10/2022	HCONF_2022_00015_0	1_Error_Report.pdf
Back]			1				
eSign	nature							
1	Authentication							
ι ι	Jser Name: JAINSHU	IBHICE						
F	Password:		Authenticated					
2	. Verification							
C	Question: What is the	he first and middle name of yo	our oldest sibling?					
4	Answer: ••••••		Verified					
	SIGN	EL						

Step 5: Receive Confirmation Email

Once resubmitted to EPA for review, the status of the submission will change to **Submitted** and all individuals that are registered with the company will receive a confirmation email from <u>eGGRT@ccdsupport.com</u> confirming resubmission of the report. At this time, the system will lock and no further edits may be made to the report until EPA completes its review of the resubmission.

Report H	HCONF_2022_000	15							
	Reporting Year	2022		R	eport Status	Submitted			
C	Conferee Name (ID)	AIM UAT IO	CF Company 5 (AIM805)	Last Submi	tted Versior 2				
	Created Date (By)	02/02/2022	2	Last Submitte	ed Date (By) 0	2/24/2022 (Shubh Jain)			
	Modified Date (By)	02/24/2022	2	Acce	ptance Date				
This rep which ti	This report has been submitted to EPA for review. At this time, the report can not be edited. Following completion of EPA's review you will be notified via email, at which time you can log in, open this report, and find more information in the Review Outcome document, if applicable.								
Uploaded	d File Name			Uploaded Date (By)		File Resubmitted?	Actions		
HFC Cont	ferral of Allowances Re	port r0.2 (1).x	lsx	02/24/2022		Yes			
Certificati	on.pdf			02/24/2022		Yes			
Report H	History								
Version	Report Documenta	ation		Submission Date (By)	Review Date	Review Outcome Docume	nt		
1	Report Summary	ZIP Archive	Validation Summary	02/02/2022 (Shubh Jain)	02/10/2022	HCONF_2022_00015_01_E	rror_Report.pdf		
2	Report Summary	ZIP Archive	Validation Summary	02/24/2022 (Shubh Jain)					
Con	firmation of eGGRT@cd To ⊘Jain, Sl	f Repor cdsupport hubh; © Ac	t Submission (H(.com dkins, Emily	CONF_2022_0001	5)				
A notic The fol	A notice from EPA's electronic Greenhouse Gas Reporting Tool (e-GGRT) regarding HFC reporting under the AIM Act. The following report has been successfully submitted to EPA and is awaiting processing.								
Compa Report Versior Compa The rep	iny Name: AIM U : ID: HCONF_202 n: 2 iny Representation port was submitt	JAT ICF Co 2_00015 ve: Shubh ced by Shu	mpany 6 (AIM806) Jain Ibh Jain on 02/24/2022						

Step 6: Receive Email Notification of Status Change

Once EPA has reviewed the report, all individuals that are registered with the company will receive another email to notify you that the status of your resubmission has changed. At this time, you may log back into the HFC Allocation Rule Reporting System, view the new submission status, and view any documents sent to you by EPA.

APPENDIX A: AMEND A TRANSHIPMENT

Transhipment notifications that are **Accepted** by EPA may be amended, as needed, prior to entry of the shipment into the United States, to provide EPA with previously unknown information or to update the expected month of import.

Step 1: Locate your Report

After logging into e-GGRT and entering the HFC Reporting System application (see Section II), navigate to the **HFC Reports** tab. Locate the report you would like to amend and click **Open**.

Home HFC Reports	es Intal Protection Import Petitions Company Mar	nagement		Hallo Shu	HFC PHASEDOWN Mark House House Hard Annu Hard Hard Hard Hard Annu Hard
AIM HAT ICE Compa	m/ 6 (AIM006)			rielo, silu	
Home » Choose a Company » C	ny o (Aliviouo) ompany Reports Summary				
Submit or access your Re	eports				
Use this screen to start new con	mpany-level HFC reports, or open e	xisting company-level HFC i	reports. You may also		
		io your company.			
If your company includes faciliti access facility-level reporting to	ies (added via the Company Manage cate the facility and click Open Faci	ement tab) they will be listed lity in the Actions column	l in a table below. To		
		,)	
Start a New Company-Level	HFC Report				
To start a new HFC report select the Company-Level HFC Report	its report type from the menu below s table and click Open Report.	v, then click the Start button.	If you want to view, revise,	or amend an exist	ting report, find it below in
Select Report Type	~				
Start					
Company-Level HFC Reports	5				
Report ID	HFC Report Type	Last Modified	Last Submitted	Status	Actions
HSHIP_2022_00062	Transhipment Notification	03/01/2022	03/01/2022	Accepted	Open

Step 2: Select Amend Report

Start the amendment process by selecting Amend Import Information.

SEPA United S Environi Agency	States mental Protection				HF
Home HFC Reports	Import Petitions	Company Management			PHASE I
			:		Hello, Shubh Jain My Profile
IM UAT ICF Comp ome » Company Reports St	oany 6 (AIM806) Immary » HFC Tranship	ment Notification			
HFC Transhipment No Complete and submit an HF Jnited States. To notify EPA Section 2. To notify EPA tha nnly be used to notify EPA o	tification C Transhipment Notifical of a shipment that will be the shipment was expor f either an upcoming imp	tion if your company has e imported into the United rted out of the United Sta port or a completed expor	or intends to tranship HFCs throug d States as a transhipment, comple tes, complete Section 3. This form i t.	n the te may	HFC Reports Resources ▶ Blank HFC Transhipment Notific form
eport Status Update EPA approved this report to report Import Information Click the Start Amendment	on 03/01/2022. Please runknown at the time of s button to begin.	efer to the review outcom submission (e.g., Vessel f	e below in the Report History table Name, Month/Year of Import, or Por	for more inforn t of Entry) you i	nation. Should you need to corre may submit a report amendment.
EPOrt Status Update EPA approved this report to report Import Information Click the Start Amendment EPORT HSHIP_2022_0006	on 03/01/2022. Please ru unknown at the time of s outton to begin. 2	efer to the review outcom submission (e.g., Vessel f	e below in the Report History table Name, Month/Year of Import, or Por	for more inform t of Entry) you i	nation. Should you need to corree may submit a report amendment
EPA approved this report to report Import Information Click the Start Amendment Seport HSHIP_2022_0006 Year of Import	on 03/01/2022. Please munknown at the time of southon to begin.	efer to the review outcom submission (e.g., Vessel f	e below in the Report History table Name, Month/Year of Import, or Por Report Status	for more inform t of Entry) you t Accepted	nation. Should you need to correc may submit a report amendment.
EPORT Status Update EPA approved this report to report Import Information Click the Start Amendment EPORT HSHIP_2022_0006 Year of Import Importer Number	on 03/01/2022. Please ru unknown at the time of s button to begin. 2 2022 ABC12345678	efer to the review outcom submission (e.g., Vessel f	e below in the Report History table Name, Month/Year of Import, or Por Report Status Last Submitted Version	for more inform t of Entry) you i Accepted 1	nation. Should you need to correc may submit a report amendment
EPORT Status Update EPA approved this report to report Import Information Click the Start Amendment Port HSHIP_2022_0006 Year of Import Importer Number Created Date (By)	on 03/01/2022. Please ru unknown at the time of s button to begin. 2 2022 ABC12345678 03/01/2022 (Shubh Jai	efer to the review outcom submission (e.g., Vessel f	e below in the Report History table Name, Month/Year of Import, or Por Report Status Last Submitted Version Last Submitted Date (By)	for more inform t of Entry) you i Accepted 1 03/01/2022 (\$	nation. Should you need to corre may submit a report amendment. Shubh Jain)
eport Status Update EPA approved this report to report Import Information Click the Start Amendment eport HSHIP_2022_0006 Year of Import Importer Number Created Date (By) Modified Date (By)	on 03/01/2022. Please runknown at the time of soutton to begin. 2 2022 ABC12345678 03/01/2022 (Shubh Jai 03/01/2022 (Shubh Jai	efer to the review outcom submission (e.g., Vessel f in)	e below in the Report History table Name, Month/Year of Import, or Por Report Status Last Submitted Version Last Submitted Date (By) Acceptance Date	for more inform t of Entry) you i Accepted 1 03/01/2022 (\$ 03/01/2022	nation. Should you need to correc may submit a report amendment Shubh Jain)
eport Status Update EPA approved this report to report Import Information Click the Start Amendment eport HSHIP_2022_0006 Year of Import Importer Number Created Date (By) Modified Date (By) ploaded File Name	on 03/01/2022. Please runknown at the time of southon to begin. 2 2022 ABC12345678 03/01/2022 (Shubh Jai 03/01/2022 (Shubh Jai	efer to the review outcom submission (e.g., Vessel f in) in) Upload	e below in the Report History table Name, Month/Year of Import, or Por Report Status Last Submitted Version Last Submitted Date (By) Acceptance Date (Bd Date (By)	for more inform t of Entry) you t Accepted 1 03/01/2022 (\$ 03/01/2022	nation. Should you need to correc may submit a report amendment Shubh Jain) hitted? Actions

Step 3: Enter New Information

Enter new information, as needed. Only select fields may be amended, including the vessel name, year/month of import, and port of entry. After the new information is entered, click **Save**.

Report HSHIP_2022_0006	2	
Year of Import	2022	Report Status Accepted
Importer Number	ABC12345678	Last Submitted Version 1 (Version 2 Amendment Started)
Created Date (By)	03/01/2022 (Shubh Jain)	Last Submitted Date (By) 03/01/2022 (Shubh Jain)
Modified Date (By)	03/01/2022 (Shubh Jain)	Acceptance Date 03/01/2022
Import Information		
	In Previously Accepted Report	Amend To
Vessel Name	Unknown	Test Vessel
Expected Year of Import	2022	2022 🗸
Expected Month of Import	April	April 🗸
Intended Port of Entry	Addison Airport, Texas - 5584	Addison Airport, Texas - 5584
Source Country	Afghanistan	Afghanistan
Save Cancel		

Step 4: Submit Amendment

Based on the new information entered, the system will automatically generate a new AMENDED Excel file that contains the amended information. You may download a copy of this file by clicking on the document link. If you need to further modify any information, click the **Back** button to return to the previous screen.

Once satisfied with your changes, click **Submit Report** and complete your eSignature. To complete your eSignature, enter your password, answer the verification question, and select Sign.

		-				
	Year of Import	2022		Report Status	Accepted	
	Importer Number	ABC12345678	Last Su	bmitted Version	1 (Version 2 Amendment Start	ed)
	Created Date (By)	03/01/2022 (Shubh Jain)	Last Sub	mitted Date (By)	03/01/2022 (Shubh Jain)	
I	Modified Date (By)	03/03/2022 (Shubh Jain)	А	cceptance Date	03/01/2022	
Uploaded	d File Name		Uploaded Date	e (By)	File Resubmitted?	Actions
HFC Tra	anshipment Notification	r0.2(AMENDED).xlsx	03/01/2022 (SI	hubh Jain)	No	
Submit	Report					
	linteri					
Version	Report Documental	tion	Submission Date (By)	Review Date	Review Outcome Document	
1	Report Summary 2	ZIP Archive Validation Summary	03/01/2022 (Shubh Jain)	03/01/2022	HSHIP_2022_00062_01_Confirm	ation_Notice.pdf
port H	SHIP_2022_00062 Year of Import	2022		Current Status	Accepted	
Port H	SHIP 2022 00062	,				
	Year of Import	2022		Current Status	Accepted	
	Importer Number	ABC12345678		Version	2	
Prior to th	he submission and c and/or the validation four electronic signat	ertification of your HFC Transhipment messages below. When you are satis ure is required to submit the report to	Notification, you may review ified with your HFC Tranship EPA.	it by viewing the p ment Notification r	rint-friendly HTML Report Sum eport you may click the Submit	mary, the XML Report button
HFC Tra	nshipment Notificat	tion: Re eSignature				
HFC Trai	nshipment Notificat	tion: ReeSignature				
HFC Trai Submit F	nshipment Notificat Report Back	tion: R eSignature 1. Authentication User Name: JAIN	SHUBHICF			
HFC Trai	nshipment Notifical Report Back	tion: R 1. Authentication User Name: JAIN Password:		Authenticated	,	

Step 5: Receive Confirmation Email

Once submitted to EPA for review, the status of your submission will change to **Submitted** and all individuals that are registered with the company will receive a confirmation email from <u>eGGRT@ccdsupport.com</u> confirming submission of the amended report. At this time, the system will lock and no further edits may be made to the report until EPA completes its review of the submission.

Report HSHIP_2022_00062							
Year of Import 2	2022	Report Status	Submitted				
Importer Number A	ABC12345678	Last Submitted Version	2				
Created Date (By)	03/01/2022 (Shubh Jain)	Last Submitted Date (By)	03/03/2022 (Shubh Jain)				
Modified Date (By)	03/03/2022 (Shubh Jain)	Acceptance Date					
This report has been submitted to EPA for review. At this time, the report can not be edited. Following completion of EPA's review you will be notified via email, at which time you can log in, open this report, and find more information in the Review Outcome document, if applicable.							
Uploaded File Name		Uploaded Date (By)	File Submitted?	Actions			
HFC Transhipment Notification r0.2	2(AMENDED).xlsx	03/01/2022 (Shubh Jain)	Yes				
	auni, 🗢 Aunis, chiny						
A notice from EPA's ele	ctronic Greenhouse Gas	Reporting Tool (e-GGRT) regarding HF	C reporting under the A	AIM Act.			
The following report ha	as been successfully subn	nitted to EPA and is awaiting processing	ng.				
Company Name: AIM U	JAT ICF Company 6 (AIM8	306)					
Report ID: HSHIP_2022	_00062						
Version: 2							
Company Representati	ve: Shubh Jain						
The report was submit	ted by Shubh Jain on 03/	03/2022.					

Step 6: Receive Email Notification of Status Change

Once EPA has reviewed the amended report, all individuals that are registered with the company will receive another email to notify you that the status of your submission has changed. At this time, you may log back into the HFC Allocation Rule Reporting System and view the updated confirmation notification sent to you by EPA.